

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *													5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
MCGIMPSEY THOMAS O					ADVANCED ENERGY INDUSTRIES INC [ AEIS ]								_ Director			% Owner	
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)								X Officer (give title below) Other (specify below)  EVP & Gen Counsel				
1625 SHARP		2/22/2019															
	(Stree	et)		4.	If An	nendme	ent, Date	Origi	nal Fil	ed (MM/I	DD/YYYY	6. I	ndividual	or Joint/G	roup Filing	(Check App	licable Line)
FORT COLLINS, CO 80525 (City) (State) (Zip)													X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
		7	Γable I -	- Non-De	rivati	ve Sec	urities A	cquir		•							
1. Title of Security (Instr. 3) 2. Trans. D			Trans. Date	2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		4. Securities Acquire or Disposed of (D) (Instr. 3, 4 and 5)		)	5. Amount of Securit Following Reported (Instr. 3 and 4)		ities Beneficially Owned Transaction(s)		Ownership of Form: Be	7. Nature of Indirect Beneficial Ownership	
							Code	V	Amou	(A) or (D)	Price					or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock 2/22/2019				2/22/2019			A	v	3181 (1)	A	\$51.94		42011			D	
Common Stock 2/22/2019				2/22/2019			F		921	<u>2)</u> <b>D</b>	\$51.94	41090			D		
Common Stock 2/22/2019				2/22/2019			A		6945 (3)	A	\$0.00		48035 (4)			D	
	Tabl	e II - Deriv	ative So	ecurities	Bene	ficially	Owned	( e.g.	, puts	, calls, v	varrants	s, optic	ons, conv	ertible sec	urities)		
1. Title of Derivate Security (Instr. 3)  2. Convers or Exerc Price of Derivati Security		3. Trans. Date	3A. Deem Execution Date, if an	n Code		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expi	ate Exer iration I		7. Title a Securitie Derivativ (Instr. 3 a	s Underl	ying	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A	.) (D)	Date Exer	e rcisable	Expiration Date	Title		ount or mber of res		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Performance Units	\$0.00	2/22/2019		A	V	13891	<u>(5)</u>		<u>(5)</u>	<u>(5)</u>	Commo Stock		13891	\$0.00	13891	D	

## **Explanation of Responses:**

- (1) These performance stock units were reported voluntarily 2/8/2016. Certain 2016 Revenue performance metrics were met under the LTI Plan and the shares were approved for release at 68.9 percent by the Board of Directors. The unvested shares were cancelled.
- (2) Payment of tax liability by witholding securities incident to vesting of performance stock units.
- (3) Employee Restricted Stock units granted 2/22/2019 under the Company's 2019 Long Term Incentive Plan (LTI Plan) will vest in three equal installments beginning on the first anniversary of the grant date.
- (4) Represents 11,966 shares of Restricted Stock units and 36,069 shares of common stock.
- (5) These performance share awards were issued under the 2019 LTI Plan at 200% of target and have a 3-year vest period and will vest in all or in part upon achievement of performance metrics. Any awards that have not been vested and released at the end of the 3 year period will be cancelled.

**Reporting Owners** 

Panarting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
MCGIMPSEY THOMAS O								
1625 SHARP POINT DRIVE			EVP & Gen Counsel					
FORT COLLINS, CO 80525								

## Signatures

/s/ Thomas O. McGimpsey

2/26/2019

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.